

**Remarks**

Claims 1, 5, 6, 8, 10 and 11 have been amended.

The amendment to claim 1 that replaces the phrase “an ester residue” with “a 1-6C alkyl group or 6-12C aryl group” finds support in Applicants’ specification at, *inter alia*, page 2, lines 11-12 and 18-20. The amendment to claim 1 that replaces “COOR<sup>2</sup>” and “COOR<sup>5</sup>” with “R<sup>2</sup>” and “R<sup>5</sup>,” respectively, finds support, *inter alia*, in the claims as originally filed and was done to address the other amendments to claim 1.

The amendment to claim 5 corrects a typographical error.

The amendment to claim 6 further defines the term “catalyst” and finds support in Applicants’ specification at, *inter alia*, page 3, lines 3-5.

The amendment to claims 8 and 11 removes the term “first” as a descriptor for the first of two recited steps. Applicants believe that in light of the fact that the second step in each of claims 8 and 11 is introduced as being subsequent to the earlier recited step, the descriptor “first” is redundant.

The amendment to claim 10 simply places the claim into a better form for reciting a process.

Accordingly, Applicants submit that no new matter has been introduced by any of the amendments.

**1. Rejection under 35 U.S.C. § 112, first paragraph**

Claim 6 is rejected because the Examiner asserts that the specification, while being enabled for DMF and N-methylpyrrolidone as catalysts, does not reasonably provide enablement for all kinds of catalysts known in the art.

Without acquiescing to the Examiner’s rejection, Applicants have amended claim 6 such that the catalyst is recited as being selected from the group consisting of dimethylformamide (DMF) and N-methylpyrrolidone (NMP). Applicants therefore respectfully request that this rejection be withdrawn.

2. **Rejection under 35 U.S.C. § 112, second paragraph**

Claims 1-11 are rejected because the Examiner asserts that the phrase “an ester residue” is vague and indefinite.

Without acquiescing to the Examiner’s rejection, Applicants have deleted the contested phrase from the pending claims and therefore respectfully request that this rejection be withdrawn.

3. **Rejection under 35 U.S.C. § 102(b)**

Claims 1-11 are rejected as allegedly anticipated by WO 02/06266 to Kooistra *et al.* (“Kooistra”). In support of his assertion of anticipation, the Examiner cites selected sections of the Kooistra specification at pages 5 and 6.

Applicants note that the Examiner appears to rely on the citation of page 6, lines 15-17 of Kooistra for teaching Applicants’ claimed step of forming an acid chloride from the corresponding free carboxylic acid or alkali or alkaline earth salt thereof. More specifically, the Examiner appears to cite the Kooistra-described reaction of pivaloyl chloride and t-butanol with a compound of formula (3) as exemplary of Applicants’ claimed contacting of a compound of formula (2) with an acid chloride forming agent in an inert solvent to form the corresponding acid chloride. Applicants submit that the Kooistra-described reaction of pivaloyl chloride and t-butanol does not proceed through an acid chloride intermediate. As support for this submission, Applicants point to the Bull. Chem. Soc. Japan article cited by Kooistra in referencing this reaction. A copy of the article is submitted herewith for the Examiner’s review. The article is entitled “A Rapid Esterification by Means of Mixed Anhydride and Its Application to Large-ring Lactonization,” which already supports Applicants’ position in that it indicates that a mixed anhydride rather than an acid chloride is generated as an intermediate in the esterification process. More specifically, the article describes a process for esterification of a target carboxylic acid using a sterically hindered acid chloride (such as pivaloyl chloride – see page 1989, second column, 10 lines below Fig. 1) that proceeds through a mixed anhydride intermediate that is subsequently treated with a preselected alcohol in the presence of DMP, resulting in an alcoholysis reaction that provides the desired ester product. See, *e.g.*, the general reaction

scheme depicted on page 1989, first column. Applicants therefore submit that in light of this Bull. Chem. Soc. Japan article, it is clear that the section of the Kooistra specification cited by the Examiner for its alleged teaching of the formation of an acid chloride, actually does not proceed through an acid chloride and as such, does not anticipate the recited step of Applicants' claimed process that does proceed through an acid chloride.

Additional evidence that the section of Kooistra cited by the Examiner does not proceed through an acid chloride, may be found on page 5, line 24 through page 6, line 2 of Kooistra, which does describe the preparation of an acid chloride of formula (3) as a method of esterification that is separate and distinct from the method of treating formula (3) with pivaloyl chloride. Applicants submit that the aforementioned section of Kooistra that does teach the generation of an acid chloride does not anticipate Applicants' invention for at least the reason that Applicants' independent claim 1 recites contacting the generated acid chloride with an alcohol of formula  $R^2OH$  in the presence of N-methylmorpholine. Kooistra does not teach the use of N-methylmorpholine as an aid in forming an ester from an acid chloride. Rather, Kooistra only teaches the use of N-methylmorpholine as an aid in the pivaloyl chloride / t-butanol reaction discussed above, which does not proceed through an acid chloride intermediate. Therefore, Kooistra cannot anticipate Applicants' claims 1-11 and Applicants respectfully request that this rejection be withdrawn.


#### 4. Conclusion

In light of the above amendments and remarks, Applicants believe that the claims are in a condition for allowance, and expedited notification by the Examiner of that fact would be appreciated. The Examiner is encouraged to contact the undersigned with any questions or concerns that adversely impact the allowance of the claims.

Except for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application, including fees due under 37 C.F.R. §§1.16 and 1.17 which may be required, including any required extension of time fees, or to credit any overpayment to Deposit Account No. 50-0310. This paragraph is intended to be a **CONSTRUCTIVE PETITION FOR EXTENSION OF TIME** in accordance with 37 C.F.R. § 1.136(a)(3).

Dated: **May 1, 2007**  
Morgan, Lewis & Bockius LLP  
Customer No. **09629**  
1111 Pennsylvania Avenue, N.W.  
Washington, D.C. 20004  
Tel: 202-739-3000  
Fax: 202-739-3001

Respectfully submitted  
**Morgan, Lewis & Bockius LLP**

  
\_\_\_\_\_  
Gregory T. Lowen  
Registration No. 46,882  
Direct Tel: 202-739-5915

July, 1979]

BULLETIN OF THE CHEMICAL SOCIETY OF JAPAN, VOL. 52 (7), 1989—1993 (1979)

1989

# A Rapid Esterification by Means of Mixed Anhydride and Its Application to Large-ring Lactonization<sup>1)</sup>

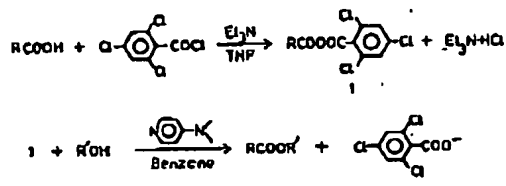
Junji INANAGA, Kuniko HIRATA, Hiroko SAEKI, Tsutomu KATSUKI,  
and Masaru YAMAGUCHI\*

Department of Chemistry, Faculty of Science, Kyushu University, Hakozaki, Higashi-ku, Fukuoka 812  
(Received September 29, 1978)

A rapid and mild esterification method using carboxylic 2,4,6-trichlorobenzoic anhydrides in the presence of 4-dimethylaminopyridine was developed. The method was also successfully applied to the synthesis of large-ring lactones, including DL-2,4,6-tridemethyl-3-deoxymethynolide.

For the preparation of large-ring lactones from the corresponding open-chain hydroxy acids, a rapid esterification reaction is necessary to overcome the unfavourable entropy factors leading to the formation of polymers. The mildness of the reaction conditions is also important if the method is to be applied to the synthesis of complex natural substances with sensitive functionalities. Most of the conventional methods have found only a limited use for this purpose. Recently, intensive studies in this field have commenced and several good lactonization methods using different types of reagents have been developed,<sup>2)</sup> some of them having been successfully applied to the synthesis of macrolides.<sup>3)</sup>

In the course of our studies of the synthesis of macrocyclic lactones, the remarkably high catalytic activity of 4-dimethylaminopyridine in acyl transfer reactions<sup>4)</sup> attracted our attention, and so the esterifications with combinations of this reagent and the appropriate mixed anhydrides were examined. This paper will describe the rapid and mild esterification method using 2,4,6-trichlorobenzoic carboxylic anhydride (1) as the anhydride counterpart in the above combination, and its successful application to the synthesis of medium- and large-ring lactones, including DL-2,4,6-tridemethyl-3-deoxymethynolide (8).



## Results and Discussion

**Mixed Anhydrides.** The esterification by means of mixed anhydride consists of two steps: the formation of the mixed anhydride, and the alcoholysis of the anhydride. Since the first step has been well-documented,<sup>5)</sup> our effort was mainly directed toward the second step. In the choice of the acids to be examined as the components of the mixed anhydrides, the following two factors were considered: the component should be a good leaving group, and the carbonyl group of the component should be sterically hindered from the nucleophilic attack to some extent. The following acid chlorides which seemed to meet the above requirements were preliminarily examined by comparing the rate of the alcoholysis of the correspond-

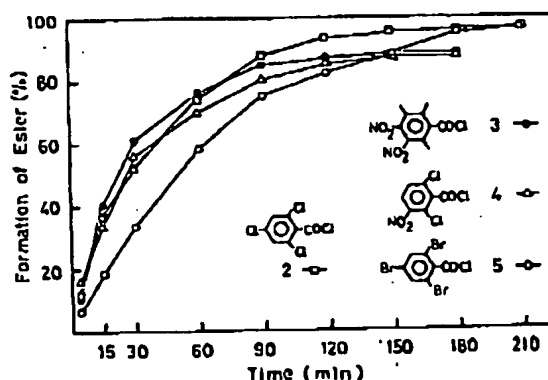


Fig. 1. Relative rates of the 2-methyl-2-propanolysis of mixed anhydrides formed from 2-methylpentanoic acid and four acid chlorides, 2, 3, 4, and 5.

ing mixed anhydrides in the presence of 4-dimethylaminopyridine: 2,4,6-trichlorobenzoyl (2),<sup>6)</sup> 2,3,6-trimethyl-4,5-dinitrobenzoyl (3), 2,6-dichloro-3-nitrobenzoyl (4),<sup>7)</sup> 2,4,6-tribromobenzoyl (5),<sup>8)</sup> 2,6-dichloro-4-nitrobenzoyl,<sup>9)</sup> 2,6-dichlorobenzoyl,<sup>10)</sup> 2,4,6-trichloro-3-nitrobenzoyl,<sup>11)</sup> 2,4,6-trichloro-3,5-dinitrobenzoyl, 2,4,6-tribromo-3-nitrobenzoyl, 2,4,6-tribromo-3,5-dinitrobenzoyl, 2,6-dinitrobenzoyl,<sup>12)</sup> 2,4,6-trimethyl-3,5-dinitrobenzoyl,<sup>13)</sup> 2,3,6-trimethylbenzoyl,<sup>14)</sup> 2,6-dimethoxybenzoyl,<sup>15)</sup> and pivaloyl chloride. 2,4,6-trinitrobenzoyl<sup>16)</sup> and 3,5-dimethyl-2,4,6-trinitrobenzoyl chloride were also examined, but these two acyl chlorides did not give well-defined mixed anhydrides with 2-methylpentanoic acid. Among the above acid chlorides, the chlorides (2), (3), (4), and (5) gave the most promising results. Figure 1 shows the relative rates of ester formation, as followed by GLPC, in the alcoholysis of the corresponding four mixed anhydrides with 2-methylpentanoic acid by 2-methyl-2-propanol at room temperature.

2,4,6-Trichlorobenzoyl chloride (2) was proved to be the most satisfactory one in rate and in the yield of the alcoholysis. The reaction using the chloride (3) was fast but incomplete; however, it was later found that the chloride can also be used for the large-ring lactonizations.

**Reaction Conditions.** The following experiments were carried out by using the acid chloride (2) unless otherwise mentioned. Table I shows the relative rates of the formation of *t*-butyl 2-methylpentanoate in various solvents. Aromatic hydrocarbons, such as

1990 Junji INANAGA, Kuniko HIRATA, Hiroko SAENI, Tsutomu KATSUKI, and Masaru YAMAGUCHI [Vol. 52, No. 7

benzene or toluene, were found to be the most suitable solvents for the alcoholysis step.

When the temperature of the reaction was raised, the rate of alcoholysis increased markedly without

TABLE 1. EFFECT OF SOLVENTS ON THE RATE OF ESTER FORMATION<sup>a)</sup>

Solvent	Ester formation (%)			
	4 min	15 min	30 min	60 min
Benzene	37	62	81	91
Toluene	37	59	79	95
Dioxane	20	49	71	89
Dichloromethane	10	39	59	77
Carbon tetrachloride	18	39	52	68
Pyridine	6	22	37	53
Cyclohexane	3	12	23	39
Acetonitrile	3	7	15	28

a) The formation of *t*-butyl 2-methylpentanoate was followed by GLPC.

affecting the final yield; for example, *t*-butyl 2-methylpentanoate was formed almost quantitatively in 1 or 2 min in toluene at 100 °C (cf. entries, 1, 3, and 4 in Table 2). The amount of dimethylaminopyridine also had a significant influence on the rate of the reaction, and it was preferable to use more than one equivalent of the reagent per mole of the mixed anhydride, especially when the method was applied to large-ring lactonizations.

**Esterification.** The results of the esterification by this method are summarized in Table 2.

As is shown in Table 2, the esters of secondary and tertiary alcohols were prepared rapidly at room temperature in good yields. In the case of primary alcohols, however, a small amount of an undesired trichlorobenzoic acid ester was formed as the by-product. The rates of the formation of benzoic acid esters were smaller than those of aliphatic acid esters. Sterically very crowded *t*-butyl pivalate could not be prepared by this method.

**Lactonization.** The method was then applied to

TABLE 2. YIELDS AND REACTION CONDITIONS OF ESTERIFICATION USING 2,4,6-TRICHLOROBENZOYL CHLORIDE

Entry	Acid (0.3 mmol)	Alcohol <sup>a)</sup>	Dimethylaminopyridine (mmol)	Time <sup>b)</sup> (min)	Yield <sup>c)</sup> (%)
1	2-Methylpentanoic acid	2-Methyl-2-propanol	0.6	90	>95
2			(2 eq.) 1.2	20	89
3			(2 eq.) 0.6	10 (80 °C)	>95
4			(2 eq.) 0.6	2 (100 °C) <sup>d)</sup>	>95
5		2-Butanol	0.6	5	>95
6		Cyclohexanol	0.6	5	>95
7		Methanol	0.6	10	95 <sup>e)</sup>
8		Ethanol	0.6	3	95 <sup>f)</sup>
9	Cyclohexanecarboxylic acid	2-Methyl-2-propanol	0.6	20	>95
10		Cyclohexanol	0.6	20	>95
11	Benzoic acid	2-Methyl-2-propanol	0.6	270	89
12		Cyclohexanol	0.6	20	>95
13	Methyl hydrogen <i>meso</i> -2,4-dimethyl-glutarate	2-Methyl-2-propanol	(2 eq.) 0.6	60	>95 <sup>g)</sup>
14			(2 eq.) 0.6	5 (80 °C)	84 <sup>h)</sup>

a) One equivalent of alcohols to acids was used unless otherwise mentioned. b) The alcoholysis reactions were carried out at room temperature in benzene unless otherwise mentioned. c) The yields were determined by GLPC in the presence of the appropriate internal standards. d) The alcoholysis step was carried out in toluene. e) Methyl trichlorobenzoate (1.5%) was also formed. f) Ethyl trichlorobenzoate (3%) was also formed. g) No isomerization occurred at room temperature, but isomerization occurred (22%) when the reaction was carried out at 80 °C.

TABLE 3. ISOLATED YIELD IN LACTONIZATION BY TRICHLOROBENZOYL CHLORIDE METHOD

Hydroxy acid (Ring size)	Catalyst <sup>a)</sup> (mol. equiv.)	Time of addition (h)	Yield (%)	
			Monomer	Dimer
HO(CH <sub>2</sub> ) <sub>9</sub> CO <sub>2</sub> H (9)	3	8	36	23
HO(CH <sub>2</sub> ) <sub>10</sub> CO <sub>2</sub> H (12)	6	5	48	20
C <sub>6</sub> H <sub>13</sub> CH(CH <sub>2</sub> ) <sub>10</sub> CO <sub>2</sub> H	6	1.5	67	10
$\begin{array}{c} \text{OH} \\   \\ \text{C}_6\text{H}_{13}\text{CHCH}_2\text{CH}=\text{CH}(\text{CH}_2)_9\text{CO}_2\text{H} \\   \\ \text{OH} \end{array}$ (13)	3.3	5	57	12

a) 4-Dimethylaminopyridine.

July, 1979]

## A Rapid Esterification and Its Application to Large-ring Lactonization

1991

TABLE 4. ISOLATED YIELD IN LACTONIZATION BY TRIMETHYLDINITROBENZOYL CHLORIDE METHOD

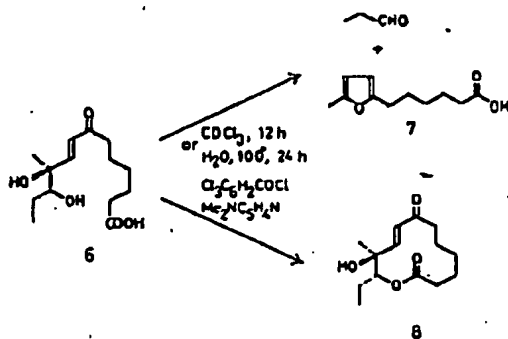
Hydroxy acid (Ring size)	Catalyst <sup>a)</sup> (mol. equiv.)	Time of addition (h)	Yield (%)	
			Monomer	Dimer
HO(CH <sub>2</sub> ) <sub>8</sub> CO <sub>2</sub> H (9)	3	7	18	41
C <sub>6</sub> H <sub>13</sub> CH(CH <sub>2</sub> ) <sub>10</sub> CO <sub>2</sub> H	6	8	58	8
$\begin{array}{c} \text{OH} \\   \\ \text{C}_6\text{H}_{13}\text{CHCH}_2\text{CH}=\text{CH}(\text{CH}_2)_7\text{CO}_2\text{H} \\   \\ \text{OH} \end{array}$ (13)	3	7	58	9

a) 4-Dimethylaminopyridine.

the synthesis of macrocyclic lactones. The mixed anhydrides of the long-chain hydroxy acids could be prepared in a manner similar to that used in the above esterification. After the removal of triethylamine hydrochloride, the solution of the mixed anhydride was diluted with toluene and slowly added to a refluxing solution of dimethylaminopyridine in toluene under high-dilution conditions. In this way, nine- to thirteen-membered ring lactones were prepared. They are shown in Table 3.

The results of the experiments carried out by using 2,3,6-trimethyl-4,5-dinitrobenzoyl chloride as the condensing agent are given in Table 4. As can be seen from the table, this acid chloride is as useful as 2,4,6-trichlorobenzoyl chloride, at least for the lactonization.

Finally, the method was applied to the lactonization of the seco-acid (6) of DL-2,4,6-tridemethyl-3-deoxymethynolide (8). The seco-acid has an acid-sensitive dihydroxy enone structure, and it has been shown that the compound decomposes easily to a furan derivative (7) and propionaldehyde on contact with a catalytic amount of hydrochloric acid.<sup>10)</sup> When the seco-acid was cyclized under conditions similar to those used in lactonization with 2,4,6-trichlorobenzoyl chloride, the desired DL-lactone (8) was isolated in 46% yield, without the formation of the furan derivative.



## Experimental

All the procedures for the esterifications and the lactonizations were carried out under an atmosphere of nitrogen in order to exclude moisture. The melting points or boiling points are uncorrected. The IR spectra (Hitachi R-215) were obtained in liquid films or potassium bromide disks. The PMR spectra (Hitachi R-20B) were taken in deuteriochloroform solutions. The mass spectra (Hitachi RMU-6MG) were recorded with a direct-inlet system operating

at 10–30 eV. The solvents were purified and dried by the standard methods.

**Materials.** 8-Hydroxyoctanoic acid was prepared by the hydrolysis of its methyl ester, which had been obtained by reducing methyl 7-chloroformylheptanoate with sodium borohydride in dioxane, and was purified by recrystallization from methanol. Mp 61°C (lit, 50–58.5°C).<sup>17)</sup>

11-Hydroxyundecanoic acid was prepared by the sodium borohydride reduction of methyl 10-chloroformyldecanoate or by the diborane reduction of methyl hydrogen undecanedioate in THF at 0°C, followed by the saponification of the resulting hydroxy ester, and was purified by sublimation. Mp 65–67°C (lit, 65.5–66°C).<sup>18)</sup>

Commercial 12-hydroxyoctadecanoic acid and ricinoleic acid were purified by recrystallization and distillation, respectively.

**2,4,6-Trichlorobenzoyl Chloride (2).** According to the method in the literature, 2,4,6-trichloroaniline was converted into 2,4,6-trichlorobenzonitrile,<sup>19)</sup> which was then hydrolyzed to 2,4,6-trichlorobenzoic acid.<sup>9)</sup> The acid was refluxed with thionyl chloride for 3 h. Bp 110–114°C/9 mmHg.

**2,3,6-Trimethyl-4,5-dinitrobenzoyl Chloride (3).** Fuming nitric acid (4 ml) was added to a cold mixture of 2,3,6-trimethylbenzoic acid<sup>20)</sup> (3.3 g) and concentrated sulfuric acid (12 ml), after which the mixture was kept at 40°C for 30 min. The reaction product was then poured onto ice, and the precipitate was filtered and recrystallized from ethanol-water to give the dinitro acid (4.1 g). Mp 222°C(dec). The acid (1.6 g) was heated with thionyl chloride (10 ml) for 12 h at 60°C, and after the removal of thionyl chloride, the crude product was purified by sublimation. Mp 102–103°C.

**2,4,6-Trichloro-3,5-dinitrobenzoyl Chloride.** 2,4,6-Trichloro-3-nitrobenzoic acid<sup>11)</sup> (2 g) was dissolved in sulfuric acid (12 ml) heated at 85°C, and sodium nitrate (1.7 g) was added over a period of 15 min. The mixture soon solidified. The temperature was kept at 80–90°C for 2 h. The mixture was then worked up as usual, and the product was recrystallized from toluene. Mp 224–225°C, 2.4 g. The dinitro acid was converted into the acid chloride by the method using phosphorus pentachloride and phosphoryl chloride and was purified by sublimation. Mp 150–152°C.

**2,4,6-Tribromo-3-nitrobenzoyl Chloride.** 2,4,6-Tribromobenzoic acid<sup>9)</sup> (3.56 g) was suspended in sulfuric acid (10 ml) and nitrated with a mixture of nitric acid (2 g) and sulfuric acid (4 g) at 0–7°C. The mixture was kept at room temperature for 1 h, poured onto ice, filtered, and washed with dilute hydrochloric acid. Mp 186–187°C (from toluene); 3.6 g. It was then converted into the acid chloride with phosphorus pentachloride and phosphoryl chloride. Mp 129–131°C (from benzene-hexane).

**2,4,6-Tribromo-3,5-dinitrobenzoyl Chloride.** This was prepared by the method described in the case of 2,4,6-trichloro-3,5-dinitrobenzoyl chloride. 2,4,6-Tribromo-3,5-dinitroben-

1992 Junji INANAGA, Kuniko HIRATA, Hiroko SAKI, Tsutomu KATSUKI, and Masaru YAMAGUCHI [Vol. 52, No. 7]

TABLE 5. ANALYTICAL AND IR DATA OF NEW ACIDS, ACID CHLORIDES, AND ESTER

Compound (Formula)	IR (cm <sup>-1</sup> )	Found (Calcd)		
		C%	H%	N%
2,3,6-Me <sub>3</sub> -4,5-(NO <sub>2</sub> ) <sub>2</sub> C <sub>6</sub> CO <sub>2</sub> H (C <sub>10</sub> H <sub>10</sub> N <sub>2</sub> O <sub>6</sub> )	1710, 1540	47.37 (47.25)	3.91 3.97	11.01 11.02
2,3,6-Me <sub>3</sub> -4,5-(NO <sub>2</sub> ) <sub>2</sub> C <sub>6</sub> COCl (C <sub>10</sub> H <sub>9</sub> ClN <sub>2</sub> O <sub>5</sub> )	1795	44.09 (44.05)	3.39 3.33	10.42 10.28
2,4,6-Cl <sub>3</sub> -3,5-(NO <sub>2</sub> ) <sub>2</sub> C <sub>6</sub> CO <sub>2</sub> H (C <sub>7</sub> HCl <sub>3</sub> N <sub>2</sub> O <sub>5</sub> )	1735, 1570, 1550	26.95 (26.65)	0.34 0.32	8.26 8.88
2,4,6-Cl <sub>3</sub> -3,5-(NO <sub>2</sub> ) <sub>2</sub> C <sub>6</sub> COCl (C <sub>7</sub> Cl <sub>3</sub> N <sub>2</sub> O <sub>5</sub> )	1810, 1775	25.10 (25.18)	0.00 0	8.34 8.39
2,4,6-Br <sub>3</sub> -3-(NO <sub>2</sub> )C <sub>6</sub> HCO <sub>2</sub> H (C <sub>7</sub> H <sub>3</sub> Br <sub>3</sub> NO <sub>2</sub> )	1720, 1545	20.09 (20.02)	0.53 0.50	9.42 9.47
2,4,6-Br <sub>3</sub> -3-(NO <sub>2</sub> )C <sub>6</sub> HCOCl (C <sub>7</sub> HBr <sub>3</sub> ClNO <sub>2</sub> )	1000, 1770	19.97 (19.91)	0.21 0.24	9.25 9.32
2,4,6-Br <sub>3</sub> -3,5-(NO <sub>2</sub> ) <sub>2</sub> C <sub>6</sub> CO <sub>2</sub> H (C <sub>7</sub> HBr <sub>3</sub> N <sub>2</sub> O <sub>5</sub> )	1720, 1545	18.90 (18.73)	0.19 0.22	6.22 6.22
2,4,6-Br <sub>3</sub> -3,5-(NO <sub>2</sub> ) <sub>2</sub> C <sub>6</sub> COCl (C <sub>7</sub> Br <sub>3</sub> ClN <sub>2</sub> O <sub>5</sub> )	1810, 1775	18.06 (17.99)	0.03 0	5.96 6.00
3,5-Me <sub>2</sub> -2,4,6-(NO <sub>2</sub> ) <sub>3</sub> C <sub>6</sub> CO <sub>2</sub> H (C <sub>8</sub> H <sub>7</sub> N <sub>3</sub> O <sub>6</sub> ·H <sub>2</sub> O)	1690, 1545	35.65 (35.65)	3.00 2.99	13.89 13.88
3,5-Me <sub>2</sub> -2,4,6-(NO <sub>2</sub> ) <sub>3</sub> C <sub>6</sub> COCl (C <sub>8</sub> H <sub>6</sub> ClN <sub>3</sub> O <sub>5</sub> )	1760	35.49 (35.60)	1.93 1.99	13.83 13.84
n-Butyl 2-methylpentanoate <sup>a)</sup> (C <sub>10</sub> H <sub>20</sub> O <sub>2</sub> )	1728	69.46 (69.72)	11.69 11.70	

a) Bp 88–89 °C/38 mmHg.

zoic acid; mp 275–276 °C. The acid chloride; mp 245–246 °C.

**3,5-Dimethyl-2,4,6-Trinitrobenzoyl Chloride.** 1,3,5-Tri-methyl-2,4,6-trinitrobenzene (1 g) was boiled with concentrated nitric acid (63%, 60 ml) for 80 h. The evaporation residue of the reaction mixture was then extracted with aqueous sodium carbonate, and the extract was acidified with hydrochloric acid. The acid was recrystallized from water. Mp 224–226 °C, 0.42 g. The acid chloride (phosphorus pentachloride and phosphoryl chloride) was purified by sublimation. Mp 157–159 °C.

The IR and analytical data of the new acids and acid chlorides are summarized in Table 5.

**Relative Rates of Alcoholysis of Mixed Anhydrides.** The acid chloride (0.3 mmol) to be examined was added to a mixture of 2-methylpentanoic acid (37 µl, 0.3 mmol) and triethylamine (42 µl, 0.3 mmol) in THF (2 ml), after which the mixture was stirred for 20 min at room temperature. After the removal of triethylamine hydrochloride by filtration, the filtrate was evaporated under nitrogen and the residue was dissolved in dichloromethane (1 ml). To this solution we added a mixture of 2-methyl-2-propanol (56 µl, 0.6 mmol) and 4-dimethylaminopyridine (73 mg, 0.6 mmol) in dichloromethane (1 ml), and the resulting mixture was stirred at room temperature. The formation of the ester was followed by GLPC by the addition of bromobenzene (50 µl) as an internal standard. The results are partly exhibited in Fig. 1.

**Comparison of Solvents.** The experiments were carried out in the manner described above, except that 2,4,6-trichlorobenzoyl chloride was used as the acid chloride and that dichloromethane was replaced by the other solvents (2 ml) to be examined. The results are summarized in Table 1.

**Preparation of Carboxylic Esters.** Carboxylic acids (0.3 mmol) and triethylamine (0.3 mmol) reacted with

trichlorobenzoyl chloride (0.3 mmol) in THF (1 ml) in the same manner as above. After the removal of triethylamine hydrochloride and the solvent,<sup>24)</sup> the resulting anhydrides were treated with alcohols (0.3–0.6 mmol) and dimethylaminopyridine (0.6–1.2 mmol) in benzene. The yields obtained by GLPC are given in Table 2. For the isolation of the esters, the reaction mixture was diluted with ether, washed successively with 3% aqueous hydrochloric acid, water, an aqueous sodium hydrogencarbonate solution, and water, dried, and distilled. They were identified by means of the PMR and IR spectra.

**Preparation of Lactones.** 2,4,6-Trichlorobenzoyl (or 2,3,5-trimethyl-4,5-dinitrobenzoyl) chloride (1.0 mmol) was added to a mixture of a hydroxy acid (1.0 mmol) and triethylamine (1.1 mmol) in THF (10 ml), after which the mixture was stirred for 1–2 h (or 12 h in the case of 2,3,6-trimethyl-4,5-dinitrobenzoyl chloride) at room temperature. After removal of triethylamine hydrochloride, the filtrate was diluted with toluene (500 ml) and added under the high-dilution conditions to a refluxing solution of 4-dimethylaminopyridine (3–6 mmol) in toluene (100 ml) over a period of 1.5–8 h. The reaction mixture was worked-up in a manner similar to that used in the case of the esterification and was separated by preparative TLC (silica gel O, Merck). The crude products were purified by distillation or recrystallization (Tables 3 and 4).

**8-Octanolide:**<sup>25)</sup> A colorless oil; IR 1735 cm<sup>-1</sup>; PMR δ 4.20 (2H, t, J=5.2 Hz, -CH<sub>2</sub>O-); MS 142 (M). The dimer:<sup>26)</sup> Colorless needles (from petroleum ether); mp 93–93.5 °C; IR 1735 cm<sup>-1</sup>; PMR δ 4.15 (4H, broad t); MS 284 (M).

**11-Undecanolide:**<sup>27)</sup> A colorless oil; IR 1730 cm<sup>-1</sup>; PMR δ 4.2 (2H, broad t); MS 184 (M). The dimer:<sup>28)</sup> Colorless needles (from hexane); mp 71–72 °C; IR 1730 cm<sup>-1</sup>; PMR δ 3.9–4.4 (4H, broad t); MS 368 (M).



July, 1979]

## A Rapid Esterification and Its Application to Large-ring Lactonization

1993

**12-Octadecanolid:** A colorless oil; bp 140 °C (bath temp)/20 mmHg; IR 1730  $\text{cm}^{-1}$ ; PMIR  $\delta$  4.7–5.2 (H, m,  $-\text{CHO}-$ ); MS 282 (M). Found: C, 76.40; H, 12.06%. Calcd for  $\text{C}_{16}\text{H}_{32}\text{O}_2$ : C, 76.54; H, 12.13%. The dimer: Colorless needles (from petroleum ether); mp 64–65 °C; IR 1730  $\text{cm}^{-1}$ ; PMIR  $\delta$  4.6–5.2 (2H, m); MS 564 (M). Found: C, 76.55; H, 12.23%. Calcd for  $\text{C}_{32}\text{H}_{64}\text{O}_4$ : C, 76.54; H, 12.13%.

**cis-9-Octadecen-12-olide:** A colorless oil; bp 120 °C (bath temp)/12 mmHg; IR 1720  $\text{cm}^{-1}$ ; PMIR  $\delta$  4.6–5.3 (H, m,  $-\text{CHO}-$ ); 5.3–5.8 (2H, m,  $-\text{CH}=\text{CH}-$ ); MS 280 (M). Found: C, 76.74; H, 11.54%. Calcd for  $\text{C}_{16}\text{H}_{32}\text{O}_2$ : C, 77.09; H, 11.50%. The dimer: A colorless oil; bp 160 °C (bath temp)/0.3 mmHg; IR 1720  $\text{cm}^{-1}$ ; PMIR  $\delta$  4.6–5.8 (6H, m,  $-\text{CHO}-$  and  $-\text{CH}=\text{CH}-$ ); MS 560 (M).

**2,4,6-Tridemethyl-3-deoxymethynolid (8).** A mixture of the seco-acid<sup>16)</sup> (6, 272 mg, 1.0 mmol) and triethylamine (153  $\mu\text{l}$ , 1.1 mmol) in THF (10 ml) was stirred for 10 min at room temperature, and then 2,4,6-trichlorobenzoyl chloride (160  $\mu\text{l}$ , 1.0 mmol) was added. After stirring for 2 h at room temperature, the resulting precipitate was filtered and washed with a small amount of THF. The filtrate was diluted with benzene (500 ml) and slowly added to a refluxing solution of 4-dimethylaminopyridine (732 mg, 6 mmol) in benzene (100 ml) over a period of 40 h. The reaction mixture was washed successively with a saturated aqueous citric acid solution, water, an aqueous sodium hydrogen-carbonate, and water, dried with magnesium sulfate, and evaporated. The crude product (247 mg) was separated by preparative TLC (silica gel G, Merck), with an ether-benzene mixture (2:1) used as the developer, to give the monomeric lactone (8, 116 mg, 46%), the dimer (65 mg, 26%), and the polymer (21 mg).

**The Monomeric Lactone (8):** Colorless needles (from dichloromethane-diisopropyl ether); mp 123 °C; IR 3520, 1725, 1680, 1620, 1225, 1150, 1085, 980  $\text{cm}^{-1}$ ; PMIR  $\delta$  0.93 (3H, t,  $J=7.1$  Hz, methyl protons of 11-ethyl), 1.2–3.0 (12H, m), 1.38 (3H, s, 10-methyl), 3.15 (H, broad s, 10-hydroxyl), 4.80 (H, dd,  $J=9.0$  and 3.1 Hz, 11-methine), 6.29 and 6.66 (2H, q,  $J=16.0$  Hz, 8-double bond); MS 255 (M+1), 237, 211, 196, 178, 151, 136, 195. Found: C, 65.96; H, 8.68%. Calcd for  $\text{C}_{11}\text{H}_{22}\text{O}_3$ : C, 66.11; H, 8.72%. Acetate (acetic anhydride and 4-dimethylaminopyridine in dichloromethane): Colorless prisms (from dichloromethane-diisopropyl ether); mp 146–147 °C; MS 296 (M), 254, 237, 225, 211, 196, 170.

**The Dimer:** A colorless oil; IR 3450, 1720, 1670, 1630, 975  $\text{cm}^{-1}$ ; PMIR  $\delta$  0.91 (6H, t,  $J=7.1$  Hz), 1.36 (6H, s), 1.1–3.0 (26H, m), 4.85 (2H, dd,  $J=9.2$  and 3.2 Hz), 6.30 and 6.84 (2H, q,  $J=16.0$  Hz); MS 508 (M), 491, 490, 237. Diacetate: Colorless needles (from dichloromethane-diisopropyl ether); mp 182.5 °C; MS 532 (M–60), 490, 472.

This work was partially supported by a Grant-in-Aid for Scientific Research from the Ministry of Education.

## References

1) Presented in part at the 33rd National Meeting of

the Chemical Society of Japan, Fukuoka, October, 1975.

2) a) For reviews see: K. C. Nicolaou, *Tetrahedron*, **33**, 683 (1977); T. G. Back, *ibid.*, **33**, 3011 (1977); b) T. Mukaiyama, K. Narasaka, and K. Kikuchi, *Chem. Lett.*, 1977, 441; c) K. Narasaka, T. Masui, and T. Mukaiyama, *ibid.*, 1977, 763.

3) a) For reviews see: Reviews cited in Ref. 2a; S. Masamune, G. S. Bates, and J. W. Corcoran, *Angew. Chem. Int. Ed. Engl.*, **16**, 585 (1977); *Angew. Chem.*, **89**, 602 (1977); b) T. Ishida and K. Wada, *J. Chem. Soc. Chem. Commun.*, 1977, 337; c) H. Gerlach, K. Oertle, and A. Thalmann, *Helv. Chim. Acta*, **60**, 2860 (1977); d) K. Narasaka, M. Yamaguchi, and T. Mukaiyama, *Chem. Lett.*, 1977, 959; e) S. Masamune, Y. Hayase, W. Schilling, W. K. Chan, and G. S. Bates, *J. Am. Chem. Soc.*, **99**, 6756 (1977); f) K. Narasaka, K. Maruyama, and T. Mukaiyama, *Chem. Lett.*, 1978, 885.

4) G. Hoffe and W. Steglich, *Synthesis*, 1972, 610; H. Vorbruggen, *ibid.*, 1973, 301.

5) N. F. Albertson, *Org. React.*, **12**, 157 (1962).

6) R. C. Fuson, J. W. Bertetti, and Wm. E. Ross, *J. Am. Chem. Soc.*, **54**, 4380 (1932).

7) Prepared from 2,6-dichloro-3-nitrobenzoic acid [K. Lehmkstedt and K. Schrader, *Ber.*, **70**, 1526 (1937)] by boiling with thionyl chloride. Bp 165–168 °C/14 mmHg; IR 1800, 1770  $\text{cm}^{-1}$ ; Found: C, 33.01; H, 0.82; N, 5.57%. Calcd for  $\text{C}_7\text{H}_2\text{Cl}_2\text{O}_3\text{N}$ : C, 33.04; H, 0.79; N, 5.50%.

8) R. C. Fuson, J. H. Van Campen, and D. E. Wolf, *J. Am. Chem. Soc.*, **60**, 2269 (1938).

9) D. B. Cosulich, D. R. Seeger, M. J. Fahrenbach, K. H. Collins, B. Roth, M. E. Hultquist, and J. M. Smith Jr., *J. Am. Chem. Soc.*, **75**, 4675 (1953).

10) J. B. Cohen and S. H. C. Briggs, *J. Chem. Soc.*, **83**, 1213 (1903).

11) P. J. Montagne, *Chem. Centralblatt*, 1903, I, 151.

12) J. J. Sudborough, *J. Chem. Soc.*, **67**, 587 (1895).

13) F. Kuncell and A. Hildebrandt, *Ber.*, **34**, 1826 (1901).

14) B. Luning, *Acta Chem. Scand.*, **13**, 1623 (1959).

15) J. F. Norris and V. W. Ware, *J. Am. Chem. Soc.*, **61**, 1418 (1939).

16) J. Inanaga, A. Takeda, N. Okukado, and M. Yamaguchi, *Mem. Fac. Sci., Kyushu Univ., Ser. C, Chem.*, **9**, 293 (1975).

17) P. Chuit and J. Hauser, *Helv. Chim. Acta*, **12**, 463 (1929).

18) W. H. Lycan and R. Adams, *J. Am. Chem. Soc.*, **51**, 625 (1929).

19) J. J. Sudborough, P. G. Jackson, and L. L. Lloyd, *J. Chem. Soc.*, **71**, 229 (1897).

20) H. A. Smith and J. A. Stanfield, *J. Am. Chem. Soc.*, **71**, 81 (1949).

21) In small-scale experiments like these, it is preferable to remove the THF solvent as thoroughly as possible. Otherwise, the rate of alcoholysis in benzene is retarded to some extent.

22) M. Stoll and A. Rouve, *Helv. Chim. Acta*, **18**, 1087 (1935).